



09/10/99

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

35.G2449

First Named Inventor or Application Identifier

SEIJI TAKEUCHI, ET AL.

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification *Total Pages* **44**
3. ☒ Drawing(s) (35 USC 113) *Total Sheets* **15**
4. ☒ Oath or Declaration *Total Pages* **2**
- a. ☐ Newly executed (original or copy)
- b. ☒ Unexecuted for information purposes
- c. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4c is checked)  
The entire disclosure of the prior application, from which a copy of the oath or  
declaration is supplied under Box 4c, is considered as being part of the disclosure of  
the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	48-20 -	28	X \$ 18.00 -	\$ 504.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	10-3 -	7	X \$ 78.00 -	\$ 546.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$260.00 -	\$ 260.00
				BASIC FEE (37 CFR 1.16(a))	\$ 760.00
			Total of above Calculations -		\$2070.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					
	TOTAL -				\$2070.00

19. Small entity status


- a. ☐ A Small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 2070.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Steven E. Warner, Reg. No. 33,326
SIGNATURE	
DATE	September 10, 1999

35.G2449

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
SEIJI TAKEUCHI, ET AL.	)	Examiner: Unknown
Appln. No.: To be assigned	)	Group Art Unit: Unknown
Filed: Concurrently Herewith	)	
For: OPTICAL ELEMENT AND	)	September 10, 1999
OPTICAL SYSTEM	)	

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box: Patent Application

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, kindly amend the  
above-identified application as follows:

IN THE CLAIMS:

Kindly amend Claim 47, line 1 as follows:


Please change "47." to --42.--.

REMARKS

Claim 47 has been changed to correct a minor  
informality. No new matter has been added. Applicants  
request favorable consideration and allowance of the subject  
application.

Applicants' undersigned attorney may be reached in  
our Washington, D.C. office by telephone at (202) 530-1010.  
All correspondence should continue to be directed to our  
below listed address.

Respectfully submitted,

  
Attorney for Applicants

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F511\W190358\SEW\lmj

TITLE OF THE INVENTION

OPTICAL ELEMENT AND OPTICAL SYSTEM

5

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates to an optical element, to  
a diffractive optical element and other optical systems  
10 having these elements, which are suitable for, for example,  
an imaging optical system to be used for a camera for  
forming an image of a photographic subject on a surface of a  
photosensitive material, an image forming optical system for  
forming image information on a photosensitive drum by  
15 optically scanning the surface of the drum, a projection  
optical system for projecting electronic circuit patterns on  
a mask as a first photographic subject on a wafer as a  
second photographic subject using the projection optical  
system such as a projection lens for producing a device like  
20 a semiconductor element such as an IC and LSI, and an  
illumination optical system for illuminating the mask for  
projection as described above.

Description of the Related Art

A variety of optical systems using diffractive optical  
25 elements employing light diffraction phenomenon have been

proposed in recent years. Examples of diffractive optical elements known in the art include Fresnel zone plates, kinoforms, binary optics, and holograms.

5       Diffractive optical elements are used as optical elements for converting an incident wavefront into a prescribed wavefront. These diffractive optical elements have characteristics that are not found in refractive optical elements. For example, diffractive optical elements have characteristics such as inverse dispersion of the  
10       reflective optical elements and the optical system can be compact since the element has substantially no thickness.

      Generally speaking, semiconductor manufacturing techniques can be applied for producing diffractive optical elements when it assumes, for example, a binary type  
15       configuration, making it possible to relatively easily realize fine pitches. Accordingly, studies on binary type diffractive optical elements in which a blazed configuration is approximated by a stepped structure have been aggressively pursued in recent years.

20       FIG. 22 to FIG. 24 show illustrative drawings of the main portions of conventional diffractive optical elements.

      FIG. 22 shows a Fresnel zone plate, in which a light-shielding member where metallic film is to remain and light-transparent portions where no film is to remain is formed by  
25       printing the Fresnel zone by a lithographic process after

depositing a metallic film such as a chromium film on a glass substrate. FIG. 23 shows a cross section of a Fresnel lens (kinoform) in which each of the annular periodic patterns along the radius direction follows a continuously curved surface that is formed by cutting or press work. FIG. 24 shows a binary type diffractive optical element comprising a phase difference type diffraction grating machined into steps by repeating plural lithographic processes on the surface of a glass substrate.

FIG. 25 to FIG. 27 show a cross section of the main part of an optical barrel having a conventional diffractive optical element.

In FIG. 25, the diffractive optical element 2501 is inserted into the barrel 2502, the diffractive optical element 2501 having approximately the same effective aperture as that of the barrel 2502. In FIG. 26, the diffractive optical element 2601 is also inserted into the lens barrel 2602 as shown in FIG. 25, the diffractive optical element 2601 having a larger effective aperture than that of the barrel 2602. As shown in FIG. 27, the periphery of the diffractive optical element 2701 is shaved off close to the vicinity of the circumference where the element serves as a diffractive optical element. The reference numeral 2702 denotes the barrel.

Meanwhile, stray light is generated when the light

incident on the diffractive optical element impinges on the area outside the diffraction grating, deteriorating optical characteristics.

Accordingly, Japanese Patent Laid-Open Nos. 62-250401  
5 and 4-95233 propose a diffractive optical element in which a light-shielding film is provided outside of the effective area of the diffraction grating.

Various advantages as described above can be obtained when the diffractive optical element is used as a part of  
10 the optical system. However, it is difficult, for example, in the diffractive optical element shown in FIG. 25 to assemble it by fitting its effective aperture with the effective aperture of the barrel to leave a portion having no diffraction properties of the diffractive optical element  
15 within the effective aperture of the barrel, causing excessive light A to be generated. When the effective aperture of the diffractive optical element is made to be larger than the effective aperture of the barrel as shown in FIG. 26, on the other hand, a problem was encountered in  
20 that an excess machining cost was incurred for EB painting of the mask required for machining of the peripheral portion where no light beam should pass through. In addition, fine dust and foreign matter adhere in the diffractive optical element unit, as shown in FIG. 27, since cutting of the  
25 portions close to the diffraction grating is required, also



causing scattering to occur.

Accordingly, there was a problem in that good quality of diffractive optical elements and optical systems using the optical elements cannot be manufactured because  
5 excessive light and scattered light are generated in all the conventional diffractive optical elements.

While stray light is prevented from being generated in the diffractive optical element proposed in Japanese Patent Laid-Open Nos. 62-250401 and 4-95233 cited above by  
10 providing a light-shielding film at the periphery of the effective area, detailed constructions of the light-shielding films are not disclosed.

A proper choice of this sort of light-shielding material is crucial, otherwise undesirable substances may be  
15 generated from the material by UV irradiation or when gas emitted from the material is decomposed by UV light to generate undesirable substances that fog the lens, thereby shortening the service life of the exposure apparatus. A light-shielding member which is directly exposed to the  
20 light is particularly susceptible.

#### SUMMARY OF THE INVENTION

Accordingly, an object of the present invention is to provide a diffractive optical element that does not generate  
25 any excessive light or scattered light by properly selecting

the light-shielding member constituting the optical elements such as a diffractive optical element and is readily manufactured while maintaining good optical performance, and an optical system using the element.

5           According to the present invention, a light-shielding member comprising a ceramic or a metal that does not generate any undesirable substances by UV irradiation is provided at the periphery of an optical element such as a lens, mirror, and diffractive optical element.

10           Another optical element according to the present invention is provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area shielding UV-laser light with a wavelength of 250 nm or less while generating no undesirable  
15           substances due to the laser light.

            Another optical element according to the present invention is provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area shielding UV light while generating no  
20           undesirable substances due to the UV light.

            Another optical element according to the present invention is provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area shielding radiation energy while  
25           generating no undesirable substances due to the radiation

energy.

Another optical element according to the present invention is provided with an effective area and a light-shielding area in the periphery of the effective area, the  
5 light-shielding area shielding UV laser light with a wavelength of 250 nm or less while being resistant to the laser light.

Another optical element according to the present invention is provided with an effective area and a light-shielding area in the periphery of the effective area, the  
10 light-shielding area shielding UV light while being resistant to the UV light.

Another optical element according to the present invention is provided with an effective area and a light-shielding area in the periphery of the effective area, the  
15 light-shielding area shielding radiation energy while being resistant to the radiation energy.

The optical element is provided with a light-shielding member comprising an inorganic material at the periphery of  
20 an optical element.

The material of the optical element comprises a thin film ceramic.

The material of the optical element comprises at least one of TiC, TiN, ZrC, ZrN, HfC and HfN.

25 The material of the optical element comprises metallic

materials.

The material of the optical element comprises a metal subjected to reflection preventive treatment.

5 The material of the optical element comprises at least one of chromium, aluminum, molybdenum, tantalum and tungsten.

The reflection preventive treatment of the optical element comprises a laminated structure of a metal oxide layer on the light-shielding member.

10 The metal oxide layer of the optical element comprises at least one of silicon oxide and aluminum oxide.

The material of the optical element comprises a compound of a metal and silicon.

15 The material of the optical element comprises a compound of at least one of molybdenum and tungsten, and silicon.

The material of the optical element comprises a semiconductor material.

The material of the optical element comprises silicon.

20 The material of the light-shielding member in the optical element comprises a metal oxide.

The present invention has a light-shielding member composed of a metal subjected to an anti reflection treatment, the light-shielding member composed of a low reflection chromium film, or a multi-layer film of a  
25 chromium oxide and metallic chromium; a diffraction grating

formed at the central area of the optical element, the ceramic material composed of either TiC, TiN, ZrC, ZrN, HfC or HfN, or a combination thereof, the ceramic material absorbing light of a wavelength to be used; an alignment mark provided on the light-shielding member; a light-shielding member comprising a metal and an alignment mark provided at the periphery of the optical element; the light-shielding member and the alignment mark provided by printing; and the portions where the light-shielding ink to be used for printing is illuminated with the light to be used does not protrude.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A and FIG. 1B show cross sections of the main part of the diffractive optical element in the first embodiment according to the present invention.

FIG. 2 shows an illustrative drawing of the diffractive optical element according to the present invention in a manufacturing step in the first embodiment.

FIG. 3 shows an illustrative drawing of the diffractive optical element according to the present invention in a manufacturing step in the first embodiment.

FIG. 4 shows an illustrative drawing of the diffractive optical element according to the present invention in a manufacturing step in the first embodiment.

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FIG. 13 shows an illustrative drawing of the

diffraction optical element according to the present invention in a manufacturing step in the second embodiment.

FIG. 14 shows an illustrative drawing of the diffraction optical element according to the present invention in a manufacturing step in the second embodiment.

FIG. 15 shows an illustrative drawing of the diffraction optical element according to the present invention in a manufacturing step in the second embodiment.

FIG. 16 shows an illustrative drawing of the diffraction optical element according to the present invention in a manufacturing step in the second embodiment.

FIG. 17A and FIG. 17B show outlines of the main part of the diffraction optical element in the third embodiment according to the present invention.

FIG. 18A and FIG. 18B show outlines of the main part of the diffraction optical element in the third embodiment according to the present invention.

FIG. 19A and FIG. 19B show outlines of the main part of the diffraction optical element in the fifth embodiment according to the present invention.

FIG. 20A and FIG. 20B show outlines of the main part of the diffraction optical element in the ninth embodiment according to the present invention.

FIG. 21 shows an outline of the main part of the optical system using the diffraction optical element in the

10th embodiment according to the present invention.

FIG. 22 shows an illustrative drawing of the diffractive optical element in the related art.

5 FIG. 23 shows an illustrative drawing of the diffractive optical element in the related art.

FIG. 24 shows an illustrative drawing of the diffractive optical element in the related art.

FIG. 25 shows an illustrative drawing of the diffractive optical element in the related art.

10 FIG. 26 shows an illustrative drawing of the diffractive optical element in the related art.

FIG. 27 shows an illustrative drawing of the diffractive optical element in the related art.

15 FIG. 28 is an illustrative drawing of the main part in the eleventh embodiment of the diffractive optical element according to the present invention.

FIG. 29 is an illustrative drawing of the main part in the eleventh embodiment of the diffractive optical element according to the present invention.

20 FIG. 30 is an illustrative drawing of the main part in the eleventh embodiment of the diffractive optical element according to the present invention.

FIG. 31 is an illustrative drawing of the eleventh embodiment according to the present invention.

25 FIG. 32 is an illustrative drawing of the eleventh



embodiment according to the present invention.

Fig. 33 is an illustrative drawing of the eleventh embodiment according to the present invention.

Fig. 34 is an illustrative drawing of the eleventh embodiment according to the present invention.

Fig. 35 is an illustrative drawing of the eleventh embodiment according to the present invention.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1A and FIG. 1B show a front view and cross section, respectively, of the main part in the first embodiment of the optical barrel having the diffractive optical element according to the present invention. In the drawing, the reference numeral 1 denotes a diffractive optical element having a grating member 101 provided with a diffraction grating with a binary shaped (stepped shaped), kinoform shaped, or frennel shaped cross section and a light-shielding member 103 provided at the face side where the diffraction grating 101 is formed at a given width in the optically non-effective area around the periphery of the diffraction grating 101. The reference numeral 102 denotes a barrel (a holding frame) holding the diffractive optical element 1.

The letter  $\phi$ ,  $d$ , and  $D$  denote an effective aperture size of the grating member 101 of the diffractive optical element

1, an aperture size of the diffractive optical element 1 and an aperture size of the barrel 102, respectively. Although the present embodiment refers to a transmission type diffractive optical element 1 through which the incident light within the area of the aperture passes through, it may represent a reflection type diffractive optical element 1 having a reflection film within the area for reflecting the incident light within the aperture.

The method for manufacturing the diffractive optical element 1 according to the present invention will be described hereinafter referring to FIG. 2 through FIG. 9. A so-called lithographic technique is used for the manufacturing method described above.

In this embodiment, a low-reflection chromium layer as a material of the light-shielding member is formed on the substrate, followed by manufacturing the diffractive optical element having a diffraction grating comprising four steps.

The low-reflection black chromium layer 205 is composed of a chromium layer and a chromium oxide layer, the black chromium layer comprising two layers of the chromium layer and chromium oxide layer or the chromium oxide layer and chromium layer, or three layers in which the chromium layer 203 is inserted between the chromium oxide layers 202 and 204. The layer construction of the light-shielding member is selected depending on the requirement for lowering the

reflection. The three layered construction will be described in this embodiment.

As shown in FIG. 2, the chromium oxide ( $\text{CrO}_x$ ) film 202 with a thickness of 300 Å is first deposited by sputtering on a transparent quartz substrate 201, followed by depositing the chromium (Cr) film 203 at a thickness of 1000 Å by sputtering. The chromium oxide ( $\text{CrO}_x$ ) film 204 with a thickness of 300 Å is further deposited on the above two films.

An alignment mark 301 as a reference point for the subsequent alignment is formed on a part of the low reflection chromium layer 205. For this purpose, the chromium oxide film 204 is removed by a reactive ion etching method after allowing the low reflection chromium layer to be exposed solely at the portion where the alignment mark is formed by spin-coating a photoresist. An etching gas such as chlorine gas or a mixed gas of chlorine gas and oxygen gas is used for etching. Over-etching may be permitted provided that the chromium layer remains. The photoresist is then peeled off in the next step. FIG. 3 shows an illustrative drawing after applying the foregoing steps.

Then, the photoresist is spin-coated to form a pattern (a resist pattern) 401 on only the portion to serve as the light-shielding member 103 so that the low-reflection chromium film does not protrude. FIG. 4 shows an

illustrative drawing after this step. The upper chromium oxide layer 204, the intermediate chromium layer 203 and the lower chromium layer 202 are removed by a reactive ion-etching. An etching gas such as chlorine gas or a mixed gas of chlorine gas and oxygen gas is used for etching.

Subsequently, the photoresist 401 is peeled off. FIG. 5 shows an illustrative drawing after this step.

Next, an etching step of the grating member 101 is carried out.

A photoresist is coated on the substrate 201 as shown in FIG. 5 to form a first resist pattern 601. FIG. 6 shows an illustrative drawing after the above step. The quartz substrate 201 is subsequently etched to a depth of 2440 Å using the resist pattern 601 as a mask, followed by peeling the resist pattern 601. Then, the photoresist is coated on the substrate 201 to form a second resist pattern 701. FIG. 7 shows an illustrative drawing after the above step. Subsequently, the quartz substrate 201 is subsequently etched to a depth of 1220 Å using the resist pattern 701 as a mask. FIG. 8 shows an illustrative drawing after the above step. Finally, the photoresist pattern 701 is peeled to manufacture the diffractive optical element having the light-shielding member as shown in FIG. 9 on the diffraction face. The remaining work is only to insert the refractive optical element 1 into the barrel 102 and the like as shown

in FIG. 1. When a highly precise centering between the barrel 102 and refractive optical element 1 is required, centering between the barrel 102 and refractive optical element 1 is simplified by taking advantage of the alignment mark 301 used in the process for mounting in the lens barrel 102.

When a member composed of a metal such as the low reflection chromium or a combination thereof with an inorganic material is used, the gas emitted due to light irradiation is reduced, resulting in no fogging of the lens, increasing the service of the instrument.

The method for manufacturing the refractive optical element in the second embodiment according to the present invention will be described hereinafter referring to FIG. 10 through FIG. 16.

While the light-shielding member is made of the low reflection chromium film as in the first embodiment, the light-shielding member is formed after manufacturing the diffractive optical element having the diffraction grating comprising four steps in the manufacturing method according to this embodiment. FIG. 10 through FIG. 16 show a stage of the manufacturing method in this embodiment.

A photoresist is coated on a quartz substrate 1001 to form a first resist pattern 1002. A resist pattern 1003 for the alignment mark to serve as a reference point for the

succeeding steps is simultaneously formed. FIG. 10 shows an illustrative drawing after the above steps. Then, the quartz substrate 1001 is subsequently etched to a depth of 2440 Å using the resist pattern 1002 as a mask. FIG. 11 shows an illustrative drawing after the above steps. Then, a photoresist is coated on the substrate 1001 to form a second resist pattern 1204. Subsequently, the quartz substrate 1001 is etched to a depth of 1220 Å using the resist pattern 1204 as a mask. FIG. 12 shows an illustrative drawing after the above steps. Finally, the photoresist pattern 1204 is peeled to complete the diffractive optical element 1 as shown in FIG. 13.

In the next step, a chromium oxide ( $\text{CrO}_x$ ) film 1405 with a thickness of 300 Å is deposited on the substrate 1001 shown in FIG. 13 by sputtering, followed by depositing a chromium (Cr) film 1406 with a thickness of 1000 Å by sputtering. Subsequently, a chromium oxide ( $\text{CrO}_x$ ) film 1407 with a thickness of 300 Å is deposited by sputtering. FIG. 14 shows an illustrative drawing after the above steps.

Then, a pattern 1501 is formed by spin-coating the photoresist so that only the light-shielding member 103 is masked. FIG. 15 shows an illustrative drawing after the above steps.

The upper chromium oxide layer 1407, the intermediate chromium layer 1406 and the lower chromium oxide layer 1405

coated on the grating member area are removed by a reactive ion-etching method. An etching gas such as chlorine gas or a mixed gas of chlorine gas and oxygen gas is used for etching. The photoresist 1501 is subsequently peeled off.

5 FIG. 16 shows an illustrative drawing after the above steps.

The refractive optical element 1 having a light-shielding member 103 on the refraction face is thus manufactured by the steps as described above.

10 The remaining manufacturing step is merely to insert the refractive optical element 1 into the barrel 102 and the like as shown in FIG. 1. When a highly precise centering between the barrel 102 and refractive optical element 1 is required, centering between the barrel 102 and refractive optical element 1 is simplified by taking advantage of the  
15 alignment mark 301 used in the process for mounting the lens barrel 102.

FIG. 17A and FIG. 17B show a front view and cross section, respectively, of the main part of the optical barrel according to the third embodiment having the  
20 diffractive optical element according to the present invention.

The present embodiment differs from the first embodiment illustrated in FIG. 1A and FIG. 1B in that a light-shielding member 1703 with a given width is provided  
25 around a face opposing the face on which a refraction

grating 1701 of the substrate of the diffractive optical element 1 is provided, the other construction being the same.

The reference numeral 1702 in the drawing denotes a barrel (a holding frame).

5           This embodiment is effective when the thickness of the substrate of the diffractive optical element 1 is thin or the pupil of the optical system is disposed in the vicinity of the diffractive optical element. Light-shielding members may be provided in the peripheries of both faces.

10           The steps of sputtering, coating resists, patterning, etching, and peeling resists, as shown in FIG. 2 through FIG. 5 in the first embodiment, are also applied on the back face of the substrate in the method for manufacturing the diffractive optical element according to this embodiment.

15           The steps may be carried out either before or after processing of the refraction face when the processing steps do not damage the front diffraction face. The center of the diffraction face on the front face and the center of the light-shielding face on the back face can be aligned with  
20           good precision by using a dual face exposure apparatus equipped with a dual face alignment mechanism such as the apparatus sold by Karl Zeiss Co. under the trade name "Suss MA25".

25           The remaining manufacturing step is merely to mount the refractive optical element 1 in the barrel 1702 and the like



as shown in FIG. 17. When a highly precise centering between the barrel and refractive optical element is required, centering between the barrel and refractive optical element is simplified by taking advantage of the alignment mark used in the process for mounting in the barrel.

FIG. 18A and FIG. 18B denote the cross sections of the main part of the lens barrel having the refractive optical element in the fourth embodiment according to the present invention. FIG. 8A is an exploded drawing to facilitate understanding of the constructions of respective elements denoted by the reference numerals 1801, 1802, and 1804.

The present embodiment differs from the first embodiment illustrated in FIG. 1A and FIG. 1B in that two independent members of a grating member 1801 provided with a diffraction grating and an optical element 1804 having a light-shielding member 1803 for shielding the incident light to the periphery of the grating member 1801 are disposed in adjoining relation with each other in the diffractive optical element 1, the other construction being the same.

When the grating member 1801 is manufactured by machining the diffraction grating over a wide area on a thin substrate 1805 in the present embodiment, tare deformation by weight of the grating member 1801 is diminished by laminating the optical elements 1804 comprising parallel

5 For manufacturing the parallel plates 1804 having the light shielding member 1803, the steps of sputtering, coating resists, patterning, etching, and peeling resists, as shown in FIG. 2 through FIG. 5 in the first embodiment, are applied to the parallel plates. As shown in FIG. 3, the optical axis of the diffractive optical element of the grating member 1801 can be aligned with a high precision to the center of the light-shielding member 1803 of the parallel plates 1804 by providing an alignment mark on the light-shielding member 1803 to laminate the parallel plates 1804 by aligning the foregoing alignment mark with the alignment mark on the grating member 1801.

20 between the lens barrel 1802 and refractive optical element 1 is required, centering between the lens barrel and refractive optical element is simplified by taking advantage of the alignment mark used in the process for mounting the lens barrel.

25      FIG. 19A and FIG. 19B show cross sections of the main

part of the optical barrel having the diffractive optical element in the fifth embodiment according to the present invention. FIG. 19A denotes an exploded drawing as shown in FIG. 18A.

5           The present embodiment differs from the first embodiment shown in FIG. 1A and FIG. 1B in that the diffractive optical element 1 is composed of three members of a grating member 1901 provided with a diffraction grating, an optical element 1904 comprising parallel plates and a light-shielding member 1903 for shielding the incident light to the periphery of the grating member 1901 disposed between them, the remaining constructions being the same. The reference numeral 1902 denotes a barrel (a holding member).

10           When the grating member 1901 is manufactured by machining the diffraction grating over a wide area on a thin substrate 1905 in the present embodiment, tare deformation by weight of the grating member 1901 is diminished by laminating the optical elements 1904 comprising parallel plates with each other. This processing also serves to  
15           protect the diffraction face. A laminated hybrid type diffractive or refractive optical element is provided for the optical elements 1904 by allowing it to have a spherical or non-spherical curvature.

20           The light-shielding member 1903 is composed of an inorganic matter, such as metallic thin plate treated to  
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form a black anodized aluminum, a thin plate of an absorbing member comprising a black inorganic ceramic material, or a ring-shaped thin plate perforated at the center of the metallic thin plate subjected to a surface matte processing.

5           The diffractive optical element 1 as shown in FIG. 19B is constructed by laminating the grating member 1901 with the light-shielding member 1903 by centering their optical axes by taking advantage of the alignment mark provided when perforating the light-shielding member 1903, the parallel plates 1904 being further laminated thereon to insert the entire diffractive optical element 1 in the barrel.

10           The sixth embodiment of the diffractive optical element according to the present invention will be described hereinafter.

15           The diffraction optical element according to the present embodiment differs from the diffraction optical element 1 shown in FIG. 1A and FIG. 1B in that the light-shielding member 103 of the diffraction optical element 1 is provided by printing and the other constructions are the same as in the first embodiment in FIG. 1A and FIG. 1B. The light-shielding member is provided by printing on the substrate 1001 formed via the steps shown in FIG. 10 through FIG. 13 using the alignment mark as a reference point. The printing methods include a screen printing, a tampon printing, and hot-stump printing in which an acrylic or

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epoxy light-shielding ink is printed at a thickness of several to several tens of microns.

The portions where the ink is coated and is not coated are divided into the portions where the screen is soaked and not soaked with the ink on the screen in the screen printing, and the ink is transferred through the screen. The ink is absorbed in a silicon rubber in the tampon printing to transfer the ink to the substrate in the tampon printing while a light-shielding mask adhered on the film is transferred by heat in the hot-stump printing.

Since the light is illuminated on the bottom face of the light-shielding paint or near the interface of the substrate, gas is not significantly emitted from the surface by light irradiation.

The seventh embodiment of the diffractive optical element according to the present invention will be described hereinafter.

The diffractive optical element according to the present embodiment differs in that the light-shielding member 1703 of the refractive optical element shown in FIG. 17 is provided by printing and the other constructions are the same as in the third embodiment shown in FIG. 17. A light-shielding member is provided on the back face of the substrate 1001 of the diffractive optical element formed via the steps shown in FIG. 10 through FIG. 13 using the surface

alignment mark as a reference point. The printing methods include a screen printing, a tampon printing and hot-stump printing in which an acrylic or epoxy light-shielding ink is printed with a thickness of several to several tens of  
5    microns.

The eighth embodiment of the diffractive optical element according to the present invention will be described hereinafter.

The diffractive optical element according to the  
10    present invention merely differs in that the light-shielding member 1803 in the diffractive optical element shown in FIG. 18 is provided by printing and the other constructions are the same as in the fourth embodiment shown in FIG. 18. The light-shielding member 1803 is provided by printing at the  
15    laminated substrate side. The printing methods include a screen printing, a tampon printing and hot-stump printing in which an acrylic or epoxy light-shielding ink is printed at a thickness of several to several tens of microns. The optical axis of the grating member 1801 is aligned with the  
20    center of the aperture of the parallel plates 1804 to be laminated at a high precision by taking advantage of an alignment mark provided during or after printing on the light-shielding member 1803.

The emission of gas due to irradiation is suppressed by  
25    the member 1904 even when light is illuminated on the light-

shielding paint.

FIG. 20A and FIG. 20B show a front view and cross section, respectively, of the optical barrel having the diffractive optical element in the ninth embodiment according to the present invention.

The present embodiment differs from the first embodiment shown in FIG. 1A and FIG. 1B in that a reflection type diffractive optical element is used instead of the transmission type diffractive optical element, the other construction being the same.

In FIG. 20A and FIG. 20B, the reference numeral 2001 is a grating member provided with a diffraction grating, the reference numeral 2002 denotes a barrel and the reference numeral 2003 denotes a light-shielding member while the letters  $\phi$  and  $d$  representing an effective aperture size of the grating member 2101 and an aperture size of the diffractive optical element 1, respectively.

An example for manufacturing the reflection type refractive optical element 1 shown in FIG. 20A and FIG. 20B will be described hereinafter.

A binary type grating member (a diffractive optical element) is manufactured by the manufacturing method in the second embodiment as shown in FIG. 10 though FIG. 13. Since the depth of etching in the reflection type diffractive optical element differs from the depth of etching in the

transmission type diffractive optical element, an etching depth optimized for the reflection type diffractive optical element is selected. After depositing chromium on the entire surface by sputtering, a dielectric layer comprising chromium oxide is deposited on the chromium layer by sputtering. Then, a resist is coated on the layer to develop by selectively exposing the grating member, thereby leaving the resist only at the periphery. In the next step, a reflection type diffractive optical element 1 having a light-shielding member 2103 is manufactured by selectively etching the dielectric layer by a reactive ion etching. The grating member on which the metallic layer is applied serves as a reflection type diffractive optical element having a high reflectivity while the periphery on which the dielectric layer is applied serves as the light-shielding member 2103 having a low reflectivity. The refractive optical element 1 is mounted in a barrel 2002 shown in FIG. 20 and the like thereafter. Aluminum, platinum, gold, or silver may be used for the reflective metallic layer. Alumina and  $\text{SiO}_2$  are used for the dielectric layer.

A desired wavefront can be obtained for the incident light to the grating member by reflection and diffraction when a light flux having a large aperture size impinges on the grating member by using the diffractive optical element according to the present invention for the optical system,



enabling to serve as a diffractive optical element that does not generate stray light and excess light since the incident light to the periphery is shielded with the light-shielding member.

5           An appropriate manufacturing method selected from the first embodiment through the eighth embodiment may be used depending on the required manufacturing cost and accuracy, because the light-shielding member at the periphery of the reflection type diffractive optical element can be  
10           manufactured by the same method as used for manufacturing the light-shielding member of the transmission type diffractive optical element.

          While the foregoing embodiments describe the diffractive optical element, the methods can be also applied  
15           to the optical elements such as lenses, and prisms, other than the diffractive optical element.

          FIG. 21 shows the 10th embodiment in which the optical barrel having the diffractive optical element according to the present invention is applied for the projection exposure  
20           equipment to be used for the lithographic step among the steps for manufacturing devices including a semiconductor device such as IC and LSI, an imaging device such as CCD and a display device such as a liquid crystal panel.

          In FIG. 21, the reference numeral 2101 denotes an  
25           illumination optical system including a light source, the

reference numeral 2102 denotes a reticle, the reference numeral 2103 denotes a barrel of a projection optical system 2108, the reference numeral 2104 denote a lens, the reference numeral 2105 denotes a diffractive optical element, the reference numeral 2106 denotes a wafer and the reference numeral 2107 denotes a wafer stage. The refractive optical element 2105 can be applied to any of the foregoing embodiments, in which, for example, a light-shielding means may be provided at the periphery of the diffraction face of the diffractive optical element according to the first embodiment. The wafer 2106 is positioned at a desired location with the wafer stage 2107, and the height of the wafer is adjusted to the focus position with a focus detecting mechanism (not shown). The reticle is aligned, if necessary, against the mark on the lower layer of the wafer that has been exposed using a detection system (not shown).

When focusing and alignment have been completed, the shutter (not shown) is opened to illuminate the reticle with the illumination light from the light source 2101, and the pattern on the reticle 2102 is projected on the wafer 2106 with the projection optical system 2108. A KrF excimer laser or a ArF excimer laser is used for the light source described above, emitting a UV light with a wavelength of 250 nm or less.

The device is manufactured through a development step

of the wafer 2106 known in the art. The optical barrel having the refractive optical element according to the present invention can be also applied to an image-forming optical instrument or an illumination apparatus as well.

5       According to the present invention, the emission of gas by light illumination on the light-shielding area is reduced, thereby avoiding the problem of fog on the lens as well as prolonging the service life of the apparatus.

10       Respective embodiments as hitherto described enable reduction of the excess light or scattered light as well as facilitate manufacture of the optical system and maintain good optical performance by appropriately selecting the light-shielding member constituting the optical element, thus attaining an optical element and an optical system  
15       using the same.

20       Providing a light-shielding member comprising a prescribed material at the optical element allows prevention of excess light from being generated besides excluding the necessity of matching the aperture size of the optical barrel with the effective aperture size of the diffractive optical element as seen in the conventional art, thus relaxing tolerance in manufacturing. When the light-shielding member is enlarged, adhesion of foreign matter to the optical element due to cutting of the periphery is  
25       reduced, and allows the entire face of the designed and

manufactured diffractive optical element to be effectively utilized as a diffractive optical element without any waste.

Alternately, providing an alignment mark on the light-shielding member allows effective utilization when the  
5 optical element is required to be centered in the optical barrel with a high precision. This mark is very effective since it does not optically generate excess scattered light.

When the diffractive optical element according to the embodiments as hitherto described are applied to an exposure  
10 apparatus, exposure of the wafer is not adversely affected by shielding the light not passing through the diffraction member since the light-shielding mechanism covers the periphery of the diffraction member on the diffraction face of the diffractive optical element. The thickness of the  
15 diffractive optical element is less than that of a conventional lens. A high transmittance and exposure efficiency can be attained by manufacturing the diffractive optical element with artificial quartz or fluorite even when the ArF excimer laser or KrF excimer laser is used for the  
20 light source.

Because excess transmission light and scattered light are reduced according to the present invention, the optical element can be readily mounted on the exposure apparatus for manufacturing the semiconductor device, enabling a  
25 projection optical system having high optical

characteristics to be produced. The optical system according to the present invention has a high transmittance when UV light from the ArF eximer laser or KrF eximer laser is used for the light source, enabling to obtain a  
5 projection optical system in which the lens material is less deteriorated.

Manufacturing and assembling of the optical system using the optical element are made easy, on the other hand, so that the present invention is widely applicable not only  
10 for the exposure apparatus for manufacturing the semiconductor device but also for the general purpose optical instruments.

The eleventh embodiment of the diffractive optical element according to the present invention will be described  
15 hereinafter with reference to from FIG. 28 through FIG. 35.

A light-shielding member is formed in the method for manufacturing the element in the present eleventh embodiment after manufacturing a diffractive optical element having four steps. FIG. 28 to FIG. 35 show the manufacturing  
20 method in this embodiment.

The first resist pattern 1002 is formed by coating the quartz substrate 1001 with a resist. The resist pattern 1003 for the alignment mark to serve as a reference point for the succeeding steps is also simultaneously formed.

25 This manufacturing step is illustrated in FIG. 28. Then,

the quartz substrate 1001 is etched to a depth of 1854 Å using the resist pattern 1002 as a mask. This manufacturing step is illustrated in FIG. 29. Then, a photoresist is coated on the substrate 1001 to form the second resist pattern 1204, followed by etching the quartz substrate 1001 to a depth of 977 Å using the resist pattern 1204 as a mask. This manufacturing step is illustrated in FIG. 30. Finally, the resist pattern 1204 are peeled off, thereby completing the grating member of the diffractive optical element shown in FIG. 31.

The depth of etching is optimized against the wavelength 193 nm of the ArF excimer laser light to be used.

A photoresist is then spin-coated to form a photoresist layer 3000. This manufacturing step is illustrated in FIG. 32.

Next, exposure and development are carried out so that only the element portion is covered with the photoresist 3001 by aligning the element using the alignment mark 3002. This manufacturing step is shown in FIG. 33.

Then, an aluminum film is deposited to a depth of 1000 Å by sputtering. This manufacturing step is shown in FIG. 34.

While an aluminum film was used for the step described above, the succeeding steps would not be altered by depositing one of any materials of molybdenum, tantalum,

5 tungsten, molybdenum silicide, tungsten silicide, silicon  
and silicon oxide instead of aluminum, because these  
materials largely absorb or reflect the light at a  
wavelength of around 193 nm or, sufficiently serving as a  
light shielding film.

In the next manufacturing step, the aluminum film and  
photoresist film on the element are simultaneously removed  
by a lift-off method using a peeling solution for the resist.

10 When lift-off is difficult, a scrubber may be used. The  
element having the light-shielding member is completed after  
the lift-off step has been applied. This manufacturing step  
is shown in FIG. 35.

15 The element according to the present embodiment is also  
applicable to the optical system in FIG. 21.

WHAT IS CLAIMED IS:

1. An optical element comprising a light-shielding member comprising a metal at the periphery of an effective area of the optical element.
2. An optical element according to Claim 1, wherein the light-shielding member is composed of a metal subjected to an anti reflection treatment.
3. An optical element according to Claim 2, wherein the light-shielding member is composed of one of a low-reflection chromium layer, and a multilayer film of a chromium oxide layer and a metallic chromium layer.
4. An optical element provided with a light-shielding member comprising a ceramic material at the periphery of an effective area of the optical element.
5. An optical element according to Claim 4, wherein the ceramic material is composed of at least one of TiC, TiN, ZrC, ZrN, HfC and HfN.
6. An optical element according to Claim 4, wherein the ceramic material is a material that absorbs the wavelength



to be used.

7. An optical element according to one of Claims 1 to 6, wherein an alignment mark is provided on the light-shielding member.

8. An optical element provided with a light-shielding member composed of a light-shielding ink and an alignment mark at the periphery of the optical element.

9. An optical element according to Claim 7 or Claim 8, wherein the light-shielding member and alignment mark are provided by printing.

10. An optical member according to Claim 9, wherein the portions where the light-shielding ink does not protrude.

11. An optical element provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area blocking UV-laser light with a wavelength of 250 nm or less and generating no undesirable substances when irradiated by laser light.

12. An element according to Claim 11, wherein the light-shielding area is composed of at least one of a metal

and a ceramic.

13. An optical element provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area blocking UV light and generating no undesirable substances due to irradiation by the UV light.

14. An element according to Claim 13, wherein the light-shielding area is composed of at least one of a metal and a ceramic.

15. An optical element provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area blocking radiation energy and generating no undesirable substances when irradiated.

16. An element according to Claim 15, wherein the light-shielding area comprises at least one of a metal and a ceramic.

17. An optical element provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area blocking UV laser light with a wavelength of 250 nm or less and being resistant to the

laser light.

18. An element according to Claim 17, wherein the light-shielding area comprises at least one of a metal and a ceramic.

19. An optical element provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area blocking UV light and being resistant to the UV light.

20. An element according to Claim 19, wherein the light-shielding area comprises at least one of a metal and a ceramic.

21. An optical element provided with an effective area and a light-shielding area in the periphery of the effective area, the light-shielding area blocking radiation energy and being resistant to the radiation energy.

22. An element according to Claim 21, wherein the light-shielding area comprises at least one of a metal and a ceramic.

23. An optical element provided with a light- shielding

member comprising an inorganic material at the periphery of an optical element.

24. An optical element according to Claim 23, wherein the material comprises a thin film ceramic.

25. An optical element according to Claim 24, wherein the material comprises at least one of TiC, TiN, ZrC, ZrN, HfC and HfN.

26. An optical element according to Claim 23, wherein the material comprises metallic materials.

27. An optical element according to Claim 26, wherein the material comprises a metal subjected to reflection preventive treatment.

28. An optical element according to Claim 26 or 27, wherein the material comprises at least one of chromium, aluminum, molybdenum, tantalum and tungsten.

29. An optical element according to Claims 26 to 28, wherein the reflection preventive treatment comprises a laminated structure of a metal oxide layer on the light-shielding member.

30. An optical element according to Claims 26 to 28, wherein the metal oxide layer comprises at least one of silicon oxide and aluminum oxide.

31. An optical element according to Claims 23, wherein the material comprises a compound of a metal and silicon.

32. An optical element according to Claims 31, wherein the material comprises a compound of at least one of molybdenum and tungsten, and silicon.

33. An optical element according to Claims 23, wherein the material comprises a semiconductor material.

34. An optical element according to Claims 33, wherein the material comprises silicon,

35. An optical element according to Claims 23, wherein the material of the light-shielding member comprises a metal oxide.

36. An optical element according to Claims 35, wherein the material of the light-shielding member comprises titanium oxide.

37. An element according to any of Claim 1 to 35, wherein a diffractive surface is formed in said effective area.

38. An element according to any of Claim 1 to 35, wherein said element is a diffractive optical element.

39. An optical system having the optical element according to one of Claims 1 to 35.

40. An illumination apparatus illuminating a face utilizing the optical system containing the optical element according to any one of Claim 1 to Claim 35.

41. A projection exposure apparatus for illuminating a pattern on a first subject by taking advantage of a light flux via the optical system containing the optical element according to one of Claims 1 to 35, thereby projecting and exposing the pattern on the first subject on a substrate face with the projection optical system.

42/ 47. A method for manufacturing a device, wherein the pattern on the mask is illuminated by taking advantage of the light flux via the optical system containing the optical

element according to one of Claims 1 to 35, the device being manufactured via a development step after exposing the wafer face with the pattern.

ABSTRACT OF THE DISCLOSURE

A grating member comprising a diffraction grating having a periodic structure for converting an incident wavefront at the central area into a prescribed wavefront is provided with a light-shielding member comprising a UV-light absorbing material at the periphery of the grating member in order to reduce excess light and scattered light.

093936 091099  
" 930000





FIG. 2

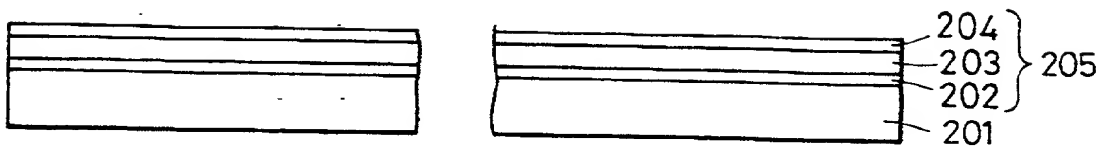


FIG. 3

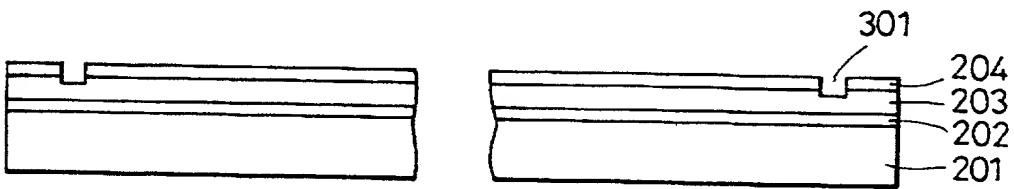


FIG. 4

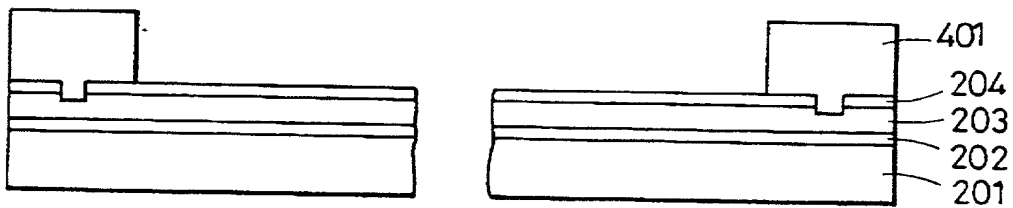


FIG. 5



FIG. 6

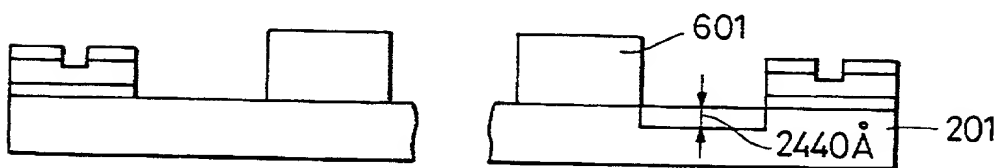


FIG. 7

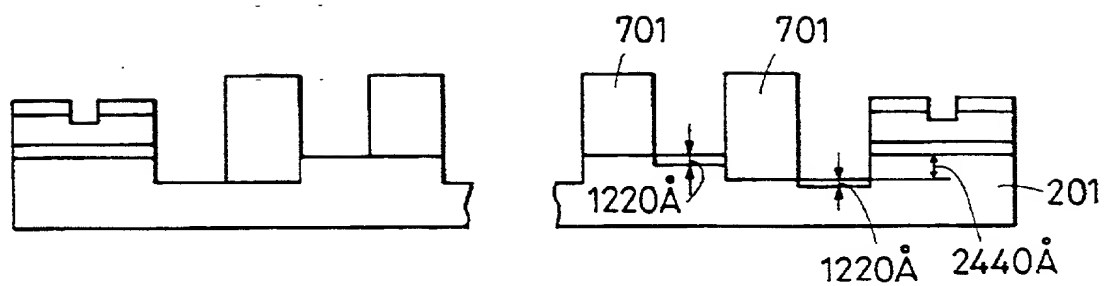


FIG. 8

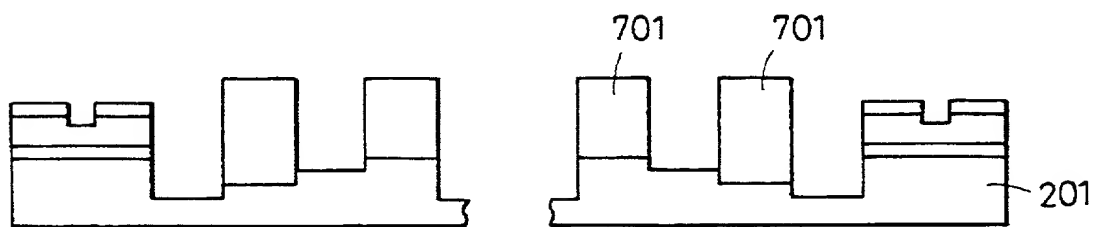


FIG. 9

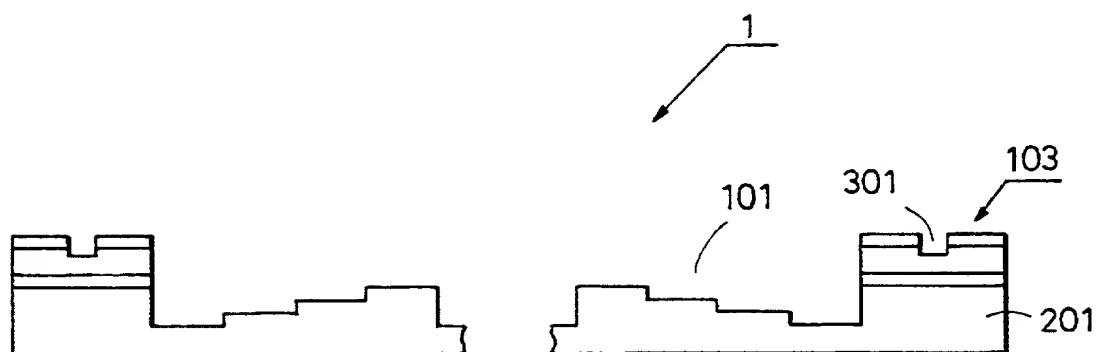


FIG. 10



FIG. 11

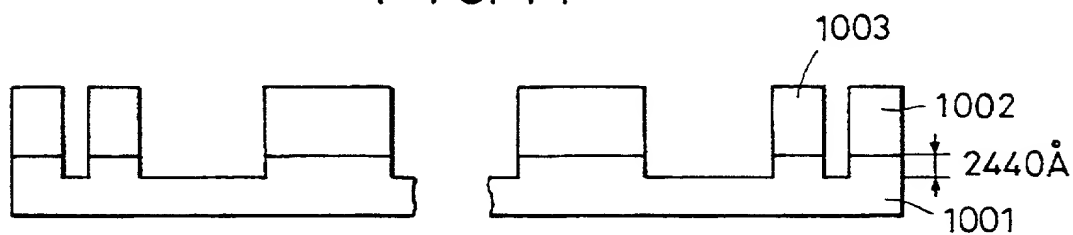


FIG. 12

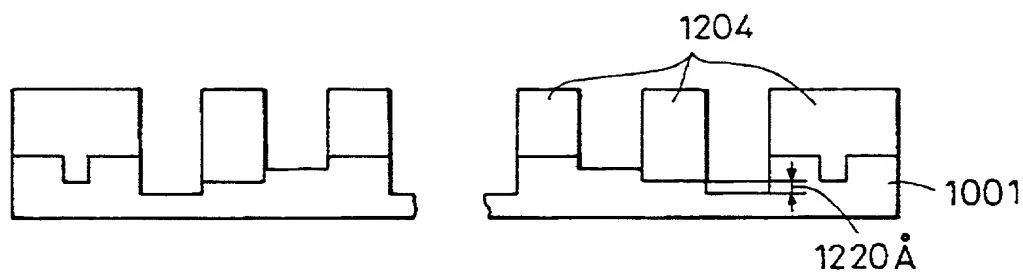


FIG. 13



FIG. 14



FIG. 15

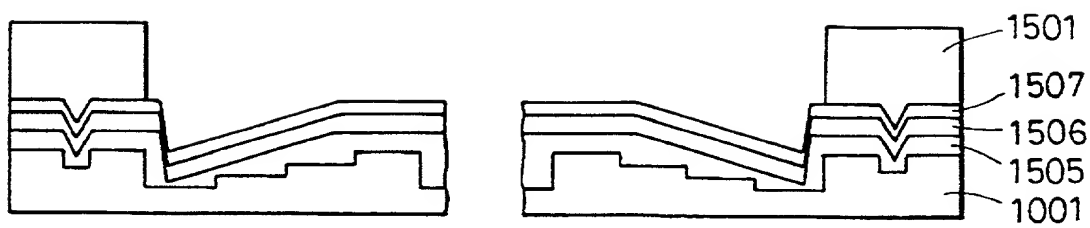


FIG. 16

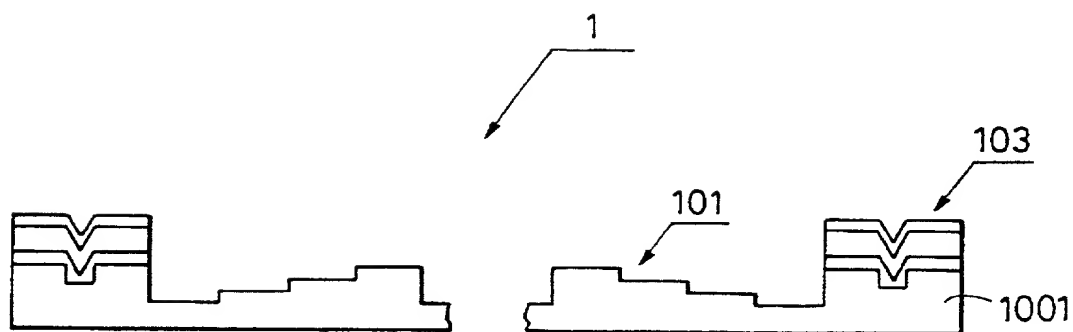


FIG. 17A

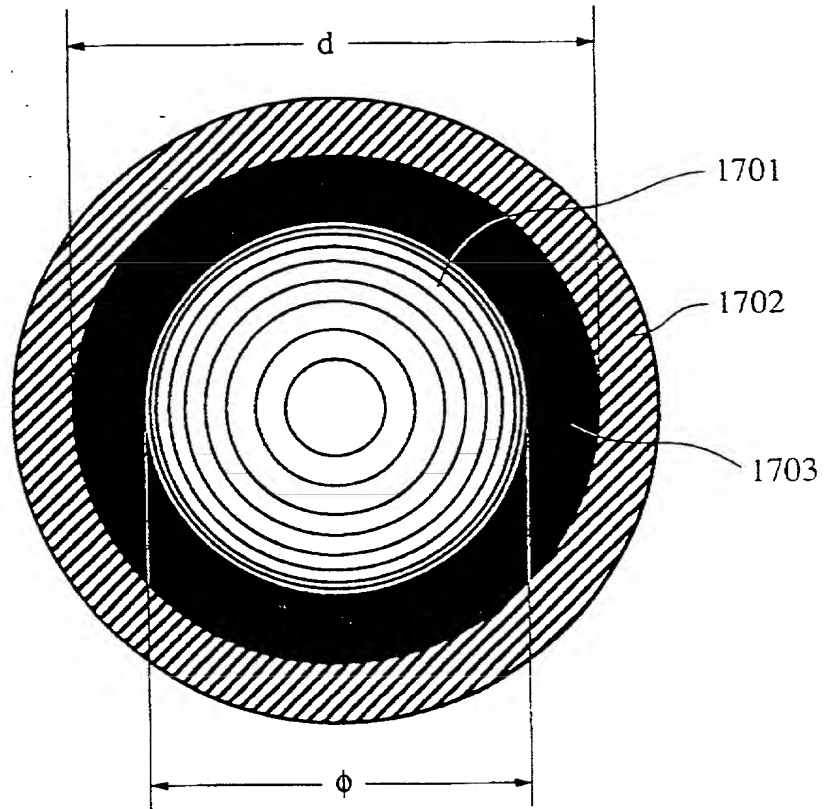


FIG. 17B

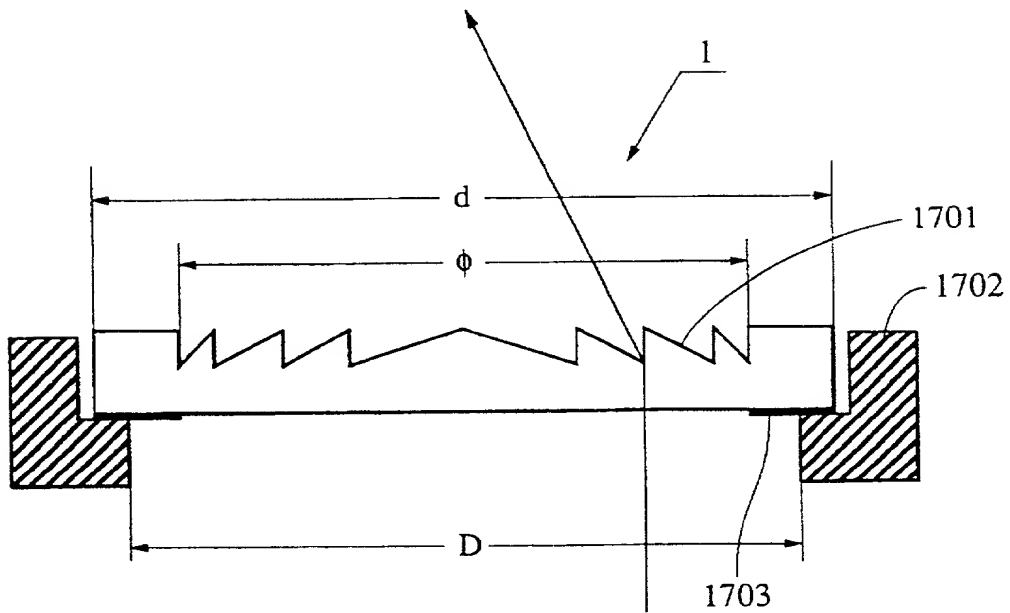


FIG. 18A

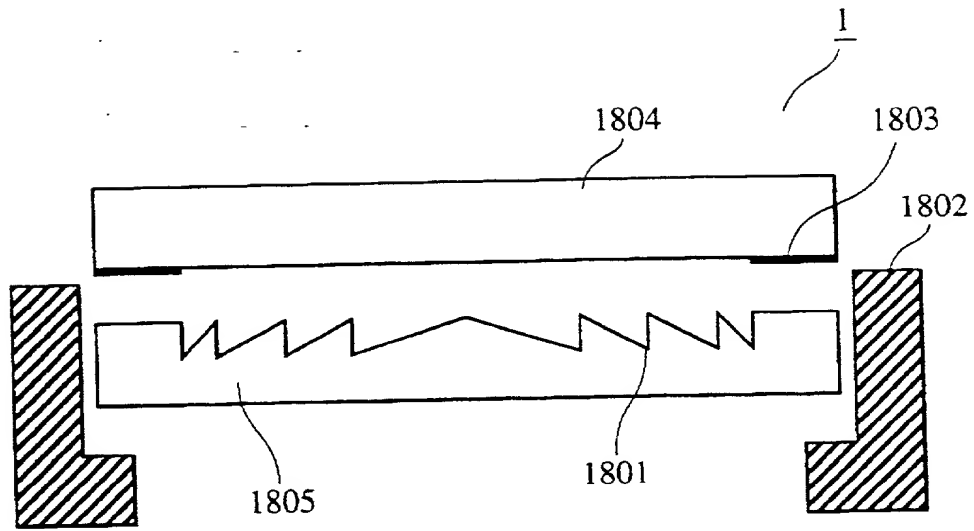


FIG. 18B

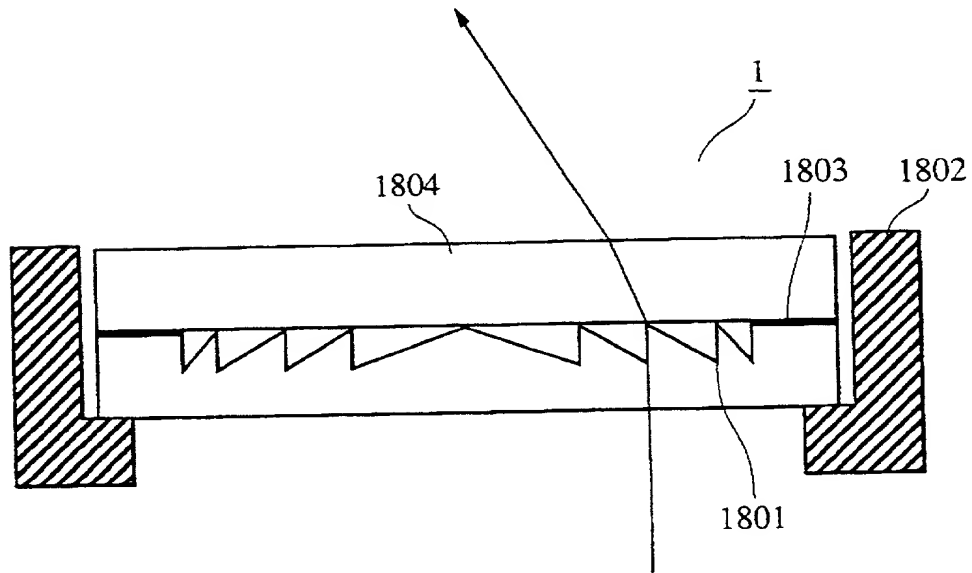


FIG. 19A

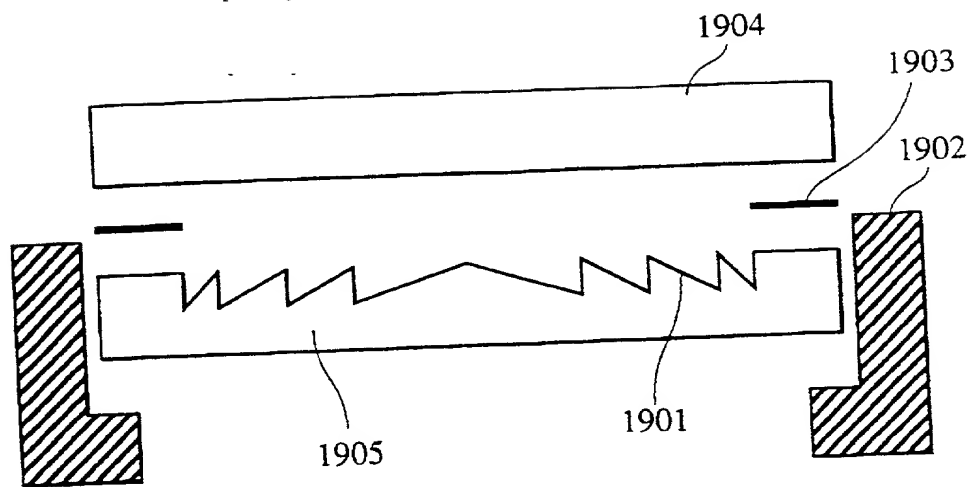
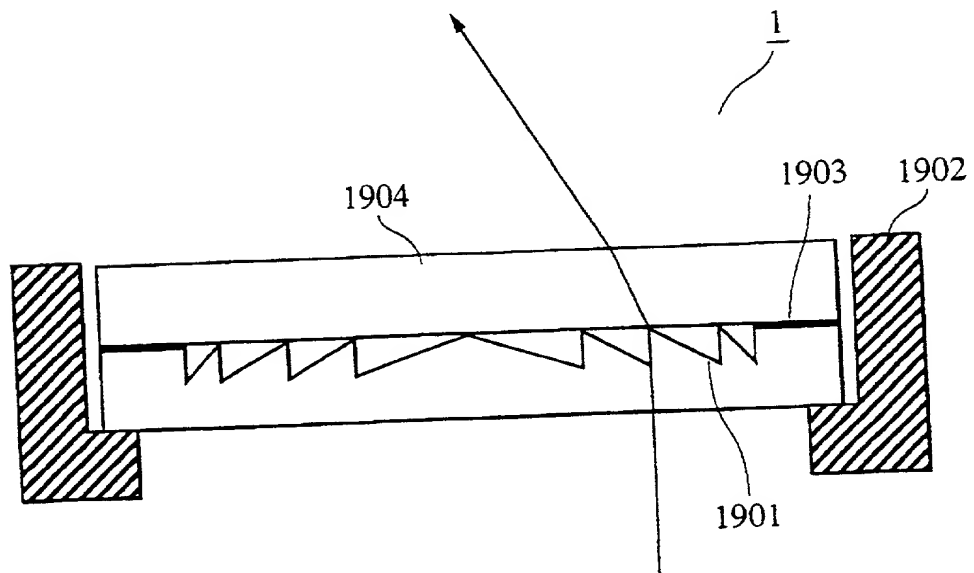


FIG. 19B





0939396 091099 660760 9966660

FIG. 20A

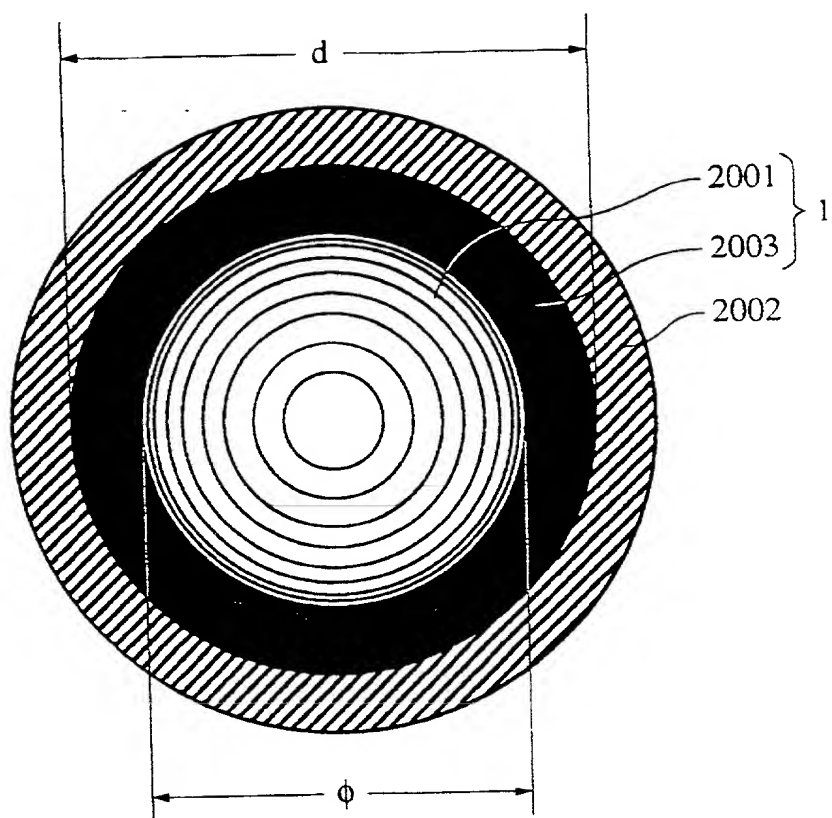


FIG. 20B

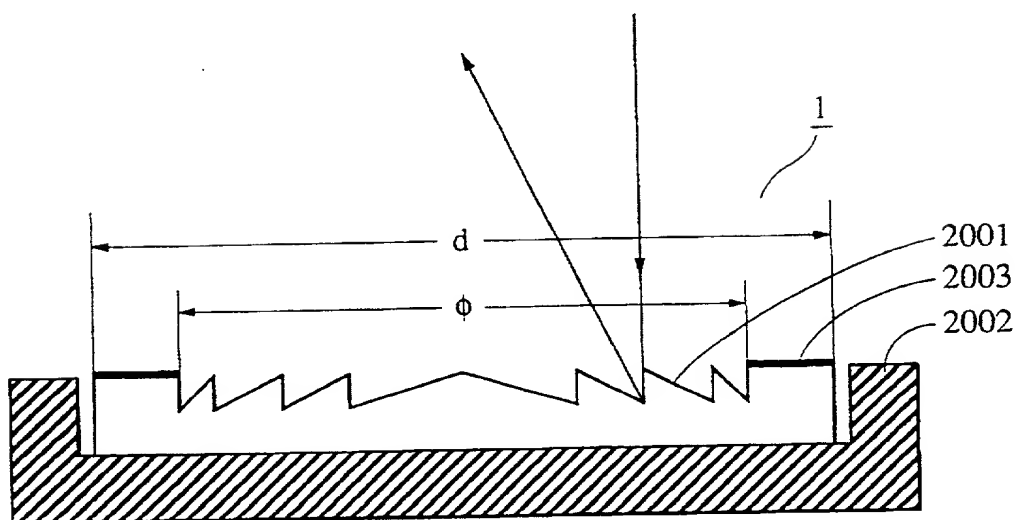


FIG. 21

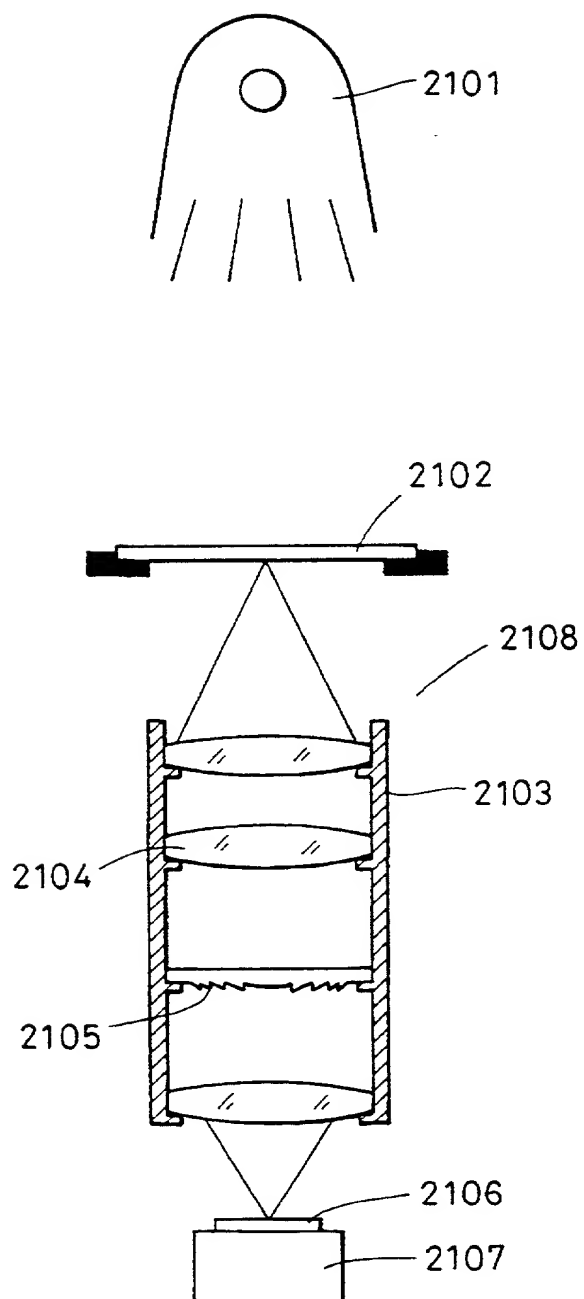


FIG. 22

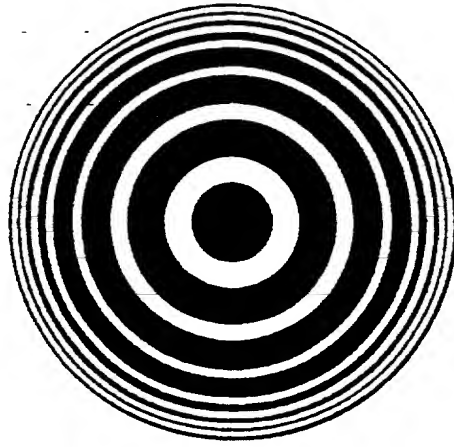


FIG. 23

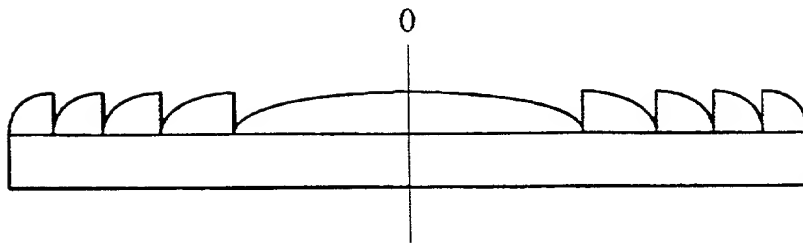
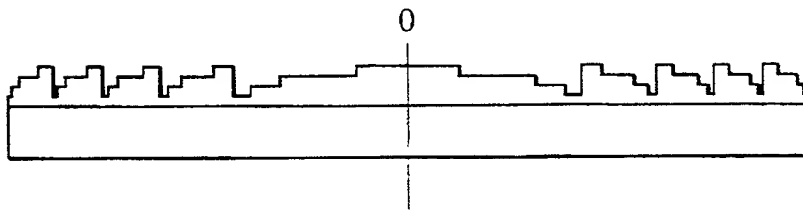


FIG. 24



660760" 99666660

FIG. 25

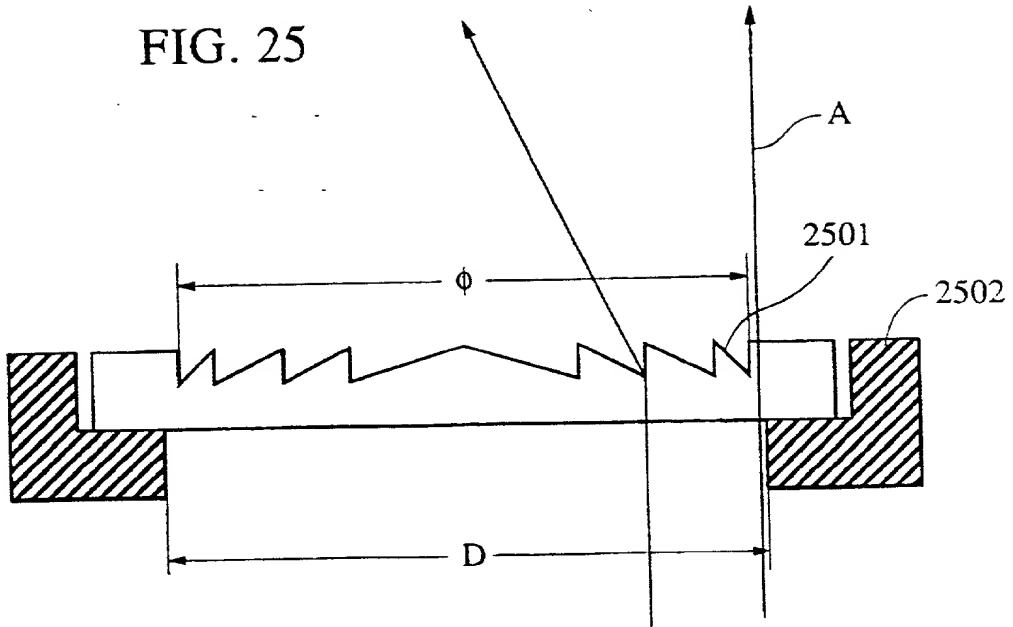


FIG. 26

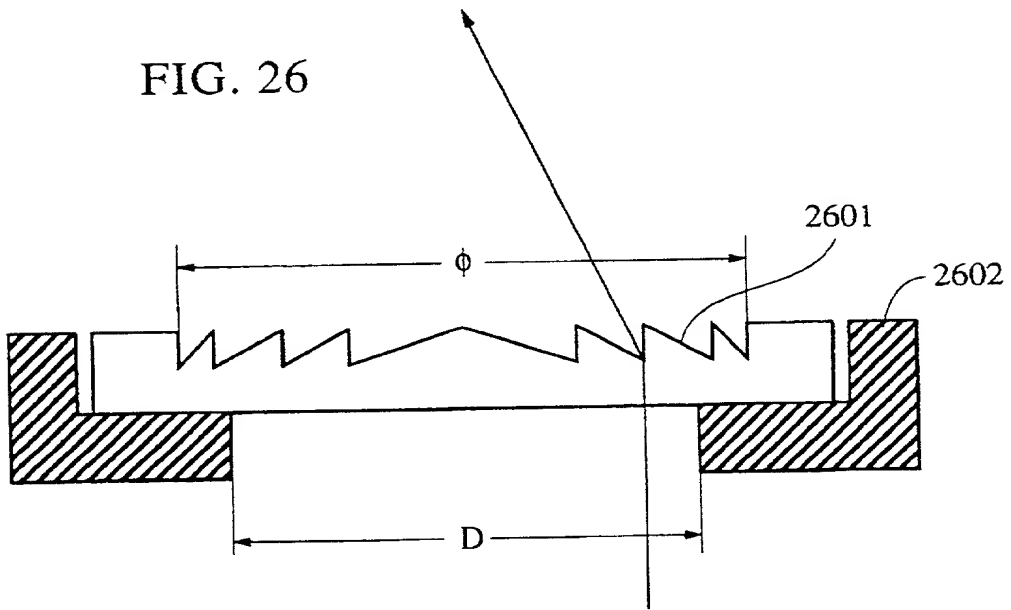


FIG. 27

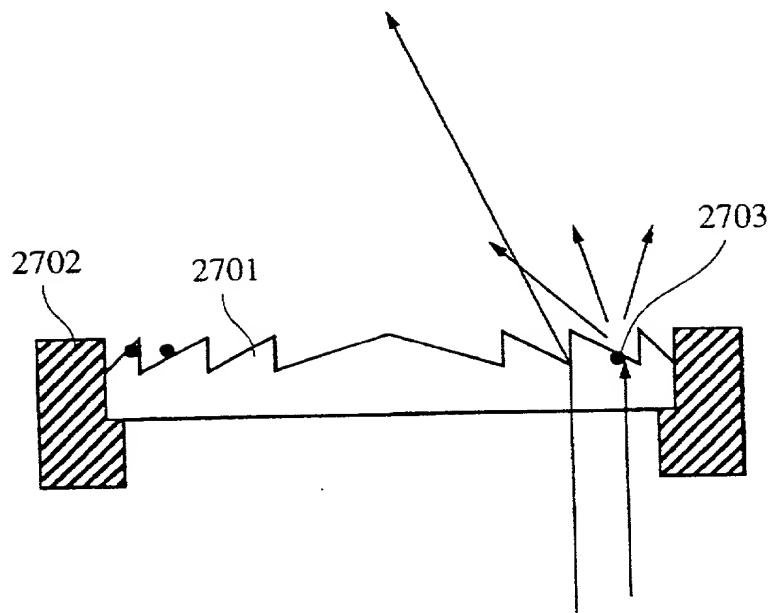


FIG. 28

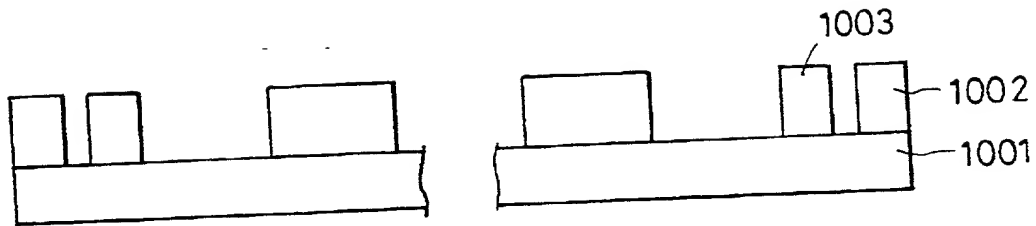


FIG. 29

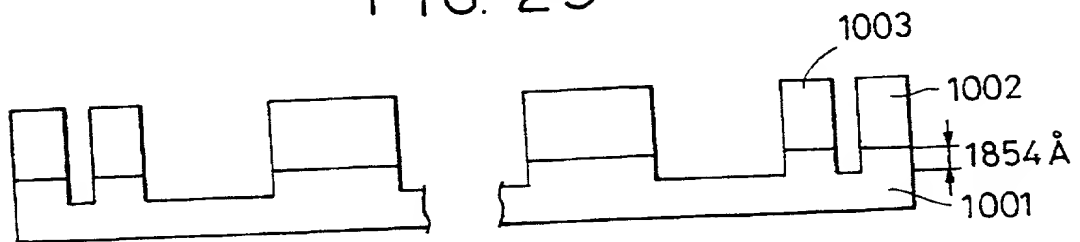


FIG. 30

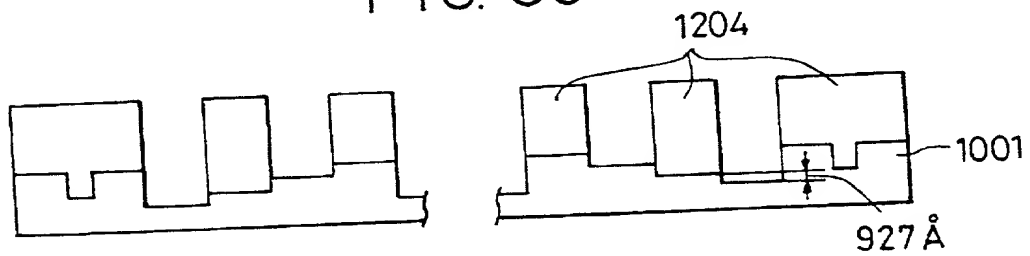


FIG. 31

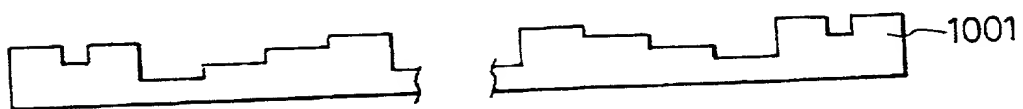


FIG. 32

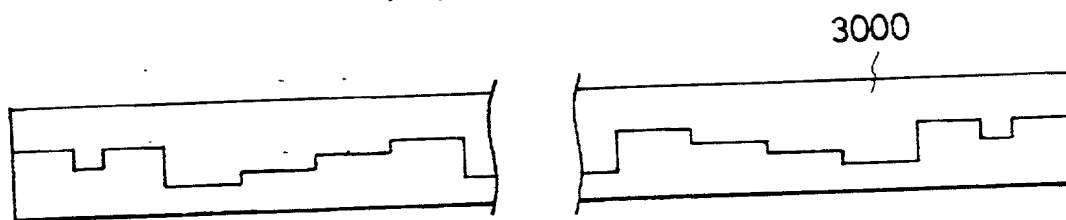


FIG. 33

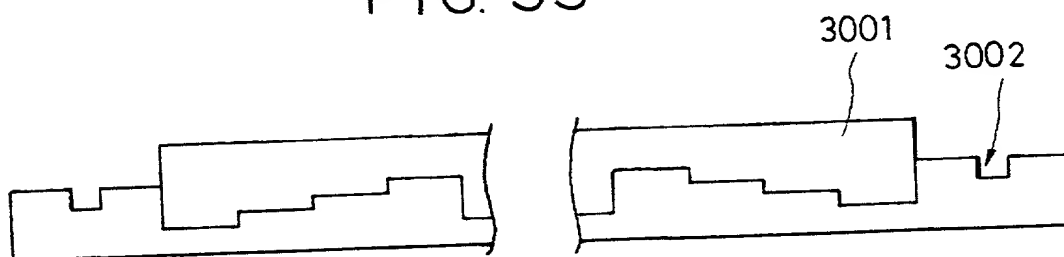


FIG. 34

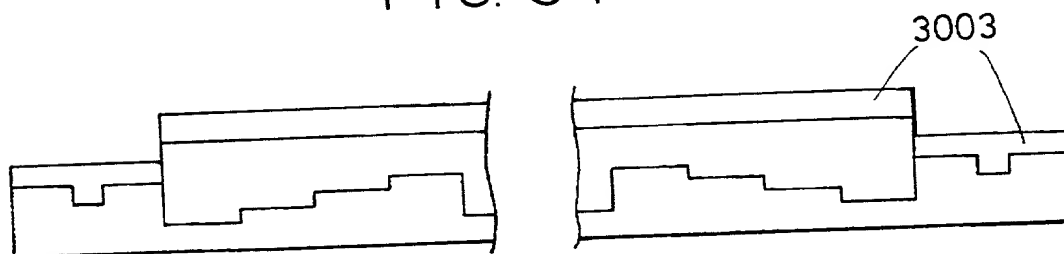
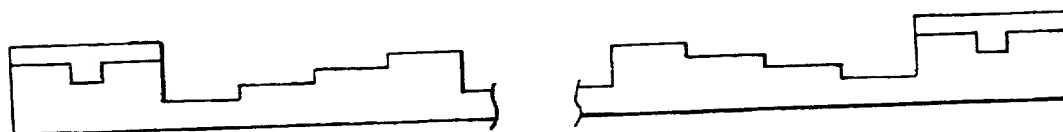


FIG. 35



COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

(Page 1)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled OPTICAL ELEMENT AND OPTICAL SYSTEM

the specification of which ☒ is attached hereto ☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

Country	Application No.	Filed (Day/Mo./Yr.)	(Yes/No) Priority Claimed
Japan	10-276615	11/September/1998	Yes
Japan	11-255658	9/September/1999	Yes

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Application No.	Filed (Day/Mo./Yr.)	Status (Patented, Pending, Abandoned)
-----------------	---------------------	--

I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

**FITZPATRICK, CELLA, HARPER & SCINTO**  
Customer Number: 05514

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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